

## *Ionizing radiation biomarkers in epidemiological studies – an update*

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## **Abstract**

Recent epidemiology studies highlighted the detrimental health effects of exposure to low dose and low dose rate ionizing radiation (IR): nuclear industry workers studies have shown increased leukaemia and solid tumour risks following cumulative doses of <100 mSv and dose rates of <10 mGy per year; paediatric patients studies have reported increased leukaemia and brain tumours risks after doses of 30-60 mGy from computed tomography scans. Questions arise, however, about the impact of even lower doses and dose rates where classical epidemiological studies have limited power but where subsets within the large cohorts are expected to have an increased risk. Further progress requires integration of biomarkers or bioassays of individual exposure, effects and susceptibility to IR. The European DoReMi (Low dose research towards multidisciplinary integration) consortium previously reviewed biomarkers for potential use in IR epidemiological studies. Given the increased mechanistic understanding of responses to low dose radiation the current review provides an update covering technical advances and recent studies. A key issue identified is deciding which biomarkers to progress. A roadmap is provided for biomarker development from discovery to implementation and used to summarise the current status of proposed biomarkers for epidemiological studies. Most potential biomarkers remain at the discovery stage and for some there is sufficient evidence that further development is not warranted. One biomarker identified in the final stages of development and as a priority for further research is radiation specific mRNA transcript profiles.

**Keywords:** Molecular epidemiology; biomarkers; ionizing radiation; effects; exposure; individual sensitivity

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## 1. Introduction

It is well known that exposure to IR<sup>1</sup> increases the risk of cancer and, at higher doses, diseases such as cardiovascular diseases and cataracts [1,2]. However, there are important unanswered questions that need addressing to increase our understanding of the impact of low dose (below 100 mSv) /dose rate exposure (0.1 mSv min<sup>-1</sup>) [3]. These include: Do tissues differ in their radiosensitivity? Is the dose response relationship linear at low doses/dose rates? How does inter-individual susceptibility impact on risks of cancer and other diseases? Are multiple exposures separated in time additive? How does radiation quality impact on radiosensitivity? Do internal emitters show the same dose response as external radiation?

Recent epidemiology studies have highlighted the importance of this research area. For instance increased risks of leukaemia and solid tumours were seen among  $\geq$ ~300,000 nuclear industry workers exposed to very low dose rate (typically <10 mGy per year) and cumulative doses <100 mSv [4,5]. Increased risks of leukaemia and brain tumours were

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<sup>1</sup>Abbreviations: <sup>3</sup>H: tritium; 8-oxodG: 8-oxo-7,8-dihydro-2'-deoxyguanosine; AT: Ataxia telangiectasia; ATM: Ataxia telangiectasia mutated; ATR: Ataxia telangiectasia and Rad3 related; BER: base excision repair; bp; base pair; CBMN: cytokinesis block micronuclei; cfdDNA: Cell-free circulating DNA; CNV: copy number variant; Cs: cesium; CT: computerized tomography; CURE: Concerted Action for an Integrated (biology-dosimetry-epidemiology) Research project on Occupational Uranium; CV; coefficient of variation; CVD: cardiovascular diseases; DC: dicentric; DDR: DNA damage response; DRC: DNA repair capacity; DNA: deoxyribonucleic acid; dNTP: deoxyribonucleotide triphosphate; DoReMi: European project towards Low Dose Research towards Multidisciplinary Integration; DSB: double strand break; EPI-CT: Epidemiological study to quantify risks for paediatric computerized tomography and to optimise doses; EPR: electron paramagnetic resonance; FDXR: Ferredoxin Reductase; GWAS: genome-wide association study; HO.: hydroxyl radicals; HPLC-MS: Liquid chromatography-mass spectrometry; HCR: Host cell reactivation; HRR: homologous recombinational repair; HRS: hyper radiosensitivity; I: iodine; IR: ionizing radiation; IRR: increased radiation resistance; LDR: low dose rate; LET: linear energy transfer; LFS: Li-Fraumeni syndrome; lncRNA: long-non-coding RNA; LPS: lipopolysaccharide; MAPK : mitogen-activated protein kinase; miRNA: micro RNA; MN-RET: Micronucleated reticulocytes; MS: mass spectrometry; mRNA: messenger RNA; mtDNA: mitochondrial DNA; NBS; Nijmegen Breakage Syndrome; ncRNA: non-coding RNA; NHEJ: non-homologous end joining; NLCS: Netherlands Cohort Study; NMR: nuclear magnetic resonance; OPERRA: Open Project for European Radiation Research; PBL: peripheral blood lymphocytes; PCC: Premature Condensed Chromosome; PTC: papillary thyroid carcinoma; Pu: plutonium; qRT-PCR: quantitative reverse-transcriptase PCR; RENEB: Realising the European Network of Dosimetry EU Coordination action; RNA: ribonucleic acid; ROS: reactive oxygen species; RT: reverse transcription; SNP: single nucleotide polymorphism; SOPs: standard operating procedures; Sr: strontium; STROBE-ME: STrengthening the Reporting of OBServational studies in Epidemiology--Molecular Epidemiology; TC-FISH: telomere/centromere-fluorescence *in situ* hybridization; TL: telomere length; U: uranium; UNSCEAR: United Nations Scientific Committee on Effects of Atomic Radiation;

also reported in paediatric patients following doses of 30-50 mGy from CT scans [6,7] and an excess risk of childhood leukaemia following bone marrow doses of a few mGy of natural background gamma radiation [8]. Questions arise, however, about the impact of even lower doses where the power of classical epidemiological studies is limited and it is not currently possible to identify whether a subset of individuals within these large cohorts have an increased risk.

Molecular epidemiology studies could increase our understanding of the effects of low dose/dose rate radiation exposures on health. In 2012, potential biomarkers for use in such studies were reviewed as part of the European DoReMi (Low Dose Research towards Multidisciplinary Integration) project (<http://www.doremi-noe.net>) [9]. This review of radiation biomarkers of exposure, susceptibility, late effects and persistent effects and discussion of logistical and ethical aspects of large studies and the relevance of biomarkers for assessing the cellular and physiological effects of low dose IR exposure concluded that although there are many potential biomarkers none were sufficiently validated for use in large radiation epidemiology studies.

The present review aims to update the Pernet *et al.* paper, focusing on recent technical advances and studies carried out on existing or new biomarkers after low dose exposures. Based on this evaluation the goal is to identify those biomarkers that should be prioritised to help epidemiology studies address the outstanding questions of the impact of low dose/dose rate exposures. Biomarkers for cardiovascular effects have recently been reviewed as part of the DoReMi initiative [10] and are not covered here. This paper assesses which biomarkers and bioassays are ready to be used for evaluating individual radiosensitivity and enhancing our understanding of the shape of radiation dose-response curves for different health outcomes and are discussed in the context of epidemiological study designs and availability of biological samples. For the first time, a road-map is

proposed for biomarker development for radiation epidemiology studies and the present status of radiation biomarkers in different stages of development and validation assessed in this context.

## **2. General epidemiological and biomarker considerations**

The Pernot paper [9] provided a definition and classification of biomarkers, and summarised the characteristics of a good biomarker which is not revisited in detail here. The choice of biomarkers depends on the objective of the study and is also dictated by the epidemiological study design: case-control studies of diseases with a long latency period (such as cancer) will generally not be able to use biomarkers of exposure as the exposure will have occurred decades before biological sampling is done; cohort studies in which subjects are followed-up for decades, on the contrary, may permit biomarkers of exposure and biomarkers of effects to be examined in the very few studies where repeated collection of biological material from the same individuals over time is possible. Thus the issue of the time range over which an endpoint is persistent is essential in considering how informative it may be as a biomarker in epidemiological studies.

Ideally, radiation epidemiology biomarkers should be specific to radiation and independent of other environmental exposures such as tobacco or other treatments. Such a biomarker would simplify analysis and help to substantiate radiation causality. However, biomarkers often lack specificity and may reflect exposure to other environmental agents or chronic conditions such as inflammation. Of course, they may still be informative in predicting the development of radiation-induced disease if such exposures are, for example, additive or interactive. Such biomarkers could be useful provided information on potential modifying/confounding factors can be collected in the epidemiological studies to allow an assessment of interactions. All biomarkers must be



reproducible between laboratories and over time, biologically plausible, and practical to use in large studies in terms of the study design, cost and feasibility of sample collection and analysis and preferably make use of biological samples collected non-invasively (e.g. saliva, nails, hair follicles, urine).

In addition to measuring endpoints in biological samples exposed *in vivo*, responses to IR can be measured by irradiating biological samples *ex vivo* under defined experimental conditions. The approach has inherent limitations related to the unknown effect of time between exposure and the collection of biological samples being assayed. It also requires samples to be stored to ensure that biological activities assayed *ex vivo* reflect those *in vivo*. Despite these limitations *ex vivo* irradiations are useful in molecular epidemiological studies where a) repeated sampling before and during irradiation is not possible, for example to assess DRC using the formation and persistence of gamma H2AX ( $\gamma$ H2AX) (see Section 3.2.2) and b) in retrospective studies where it is not possible to measure biological responses after an exposure that occurred many years ago.

Low dose radiation biology research has identified several approaches as promising avenues for the development of radiation epidemiology biomarkers (see for instance the recent commentary from National Council on Radioprotection and measurements [11]). Moving from a biomarker discovery stage to validation and application in a molecular epidemiological setting requires biospecimen focused research to identify possible pre-analytical variables (e.g. processing delay, storage conditions, storage time, freeze/thaw cycles) that might affect biomarker detection and sufficiently large numbers of suitable biological samples for biomarker validation. Indeed, there remains a need to increase the number and size of radiation epidemiology biorepositories and to identify populations where sampling is feasible and potentially informative. For instance, studies investigating occupational exposures might be particularly amenable for the collection of multiple

biological samples for assay comparisons, as samples can be collected during routine medical surveillance, subject to appropriate ethics clearance and agreements [12,13]. In parallel with the collection of biological samples, collecting “metadata” (e.g., radiation dose and information on other factors which may influence biomarker results, such as age, sex, socioeconomic status, body mass index, and medication use) is essential for interpreting biomarker results. It can, therefore, be efficient to collect biological samples in subsets of cohorts for which a substantial amount of metadata is available, such as in the occupational setting [14,15] or in the medical therapeutic setting (see for example [16]) where data and samples can be obtained before, during and after treatment as part of routine clinical patient follow-up.

In radiation epidemiology, there is interest in biomarkers involving biological samples that do not require drawing blood. For instance saliva has potential as a biological sample for biomarker development and in particular biomarkers of exposure [17]. Such an approach is particularly attractive when a large number of samples are required. Finger/toe nails are also easy and cheap biological samples to obtain. Hogervorst *et al.* [18] reported their comparison of DNA isolated from toenail samples stored for >25 years from the Netherlands Cohort Study [19] versus other sources of DNA. Although nail DNA was considerably degraded, it could be genotyped for a limited set of SNPs. For genotyping using next generation sequencing where DNA degradation is less of an issue, nails may therefore be an attractive DNA source.

Pilot studies and the subsequent validation of biomarkers require access to suitable biospecimens. These may be unavailable in existing biobanks and require assessing the suitability of alternative biospecimens or collecting new samples. For instance, a recent proof-of-principle study showed that volatile organic compounds in exhaled breath could be used to estimate radiation exposure [20]. However as discussed below, validating such

measurements for integration into molecular epidemiological studies requires further work to assess the feasibility of the approach and, as appropriate samples are not already biobanked, sample collection. For other studies of potential biomarkers it might be possible to use stored samples, but the SOPs used for the collection and processing of materials may not be suitable for all applications. The development and validation of SOPs increase reproducibility and are essential steps in collecting and exploiting biological samples. This is particularly the case in multicenter studies, where protocol variations often considered fairly mundane, such as methods for assessing DNA yield, can differ substantially and influence results [18]. Recent publications have explored approaches for improving biomarker reproducibility by identifying sources of variability and highlighting the importance of biospecimen focused research to optimise SOPs. For example it has been shown that processing delays and the number of freeze/thaw cycles affects miRNA expression levels [21]; miRNA expression levels are also affected by the method used for their isolation and the platform used for analysis [22] and the choice of primer pairs for the qPCR assessment of mRNA transcript levels, which need to reflect alternative splicing, can significantly impact on results [23]. Clearly such considerations will impact on cost and a careful cost/benefit evaluation is needed to justify the use of any biomarker in a molecular epidemiology setting and any changes in operating procedures.

Molecular epidemiology poses special ethical issues that were discussed by Pernot *et al.* [9] and, in more detail, by Gallo *et al.*, who discussed the need for strengthening the reporting of molecular epidemiological studies and outlined the STROBE-ME recommendations [24]. Advances in laboratory techniques enable the extraction of DNA, RNA and proteins from many stored samples at a sufficient quality and quantity for use in many -omic approaches, opening up the possibility to investigate biobanked samples

for endpoints that were not included in the original informed consent forms. This is particularly the case for genetic analyses where, due to technical improvements and reductions in costs, sequencing of whole genomes is feasible in large cohorts. Currently most studies do not give information on individual health risks to study participants. Indeed few biomarkers have been validated in prospective studies as being predictive for the likelihood of developing a disease. In coming years these issues will evolve as the understanding of risks associated with individual susceptibility, and the specificity and sensitivity of different endpoints to assess individual risk, become clearer.

### **3. Potential IR biomarkers**

In this update we consider progress in IR biomarker development and validation in molecular epidemiology settings using the classifications of the Pernot et al review [9] and, as in this review, due to the nature and biokinetics of the dose distribution, approaches for the biological estimation of dose for internal emitters have been considered separately.

#### *3.1 Cytogenetic biomarkers*

##### *3.1.1 Cytogenetics biomarkers of low dose exposure*

The DC assay remains the international biodosimetry “gold standard” for recent radiation exposures [25] and is the technique with which newer biodosimetric approaches are compared. The DC assay can detect exposure to 0.1 Gy if up to 1000 cells are analysed and, based on the distortion of the Poisson distribution of the number of aberrations/cell, differentiates between partial and whole body exposures or to high or low LET radiation [26]. The scoring of DC based on chromosomal morphology requires a high level of expertise, and time to analyze large numbers of cells. Automated DC scoring systems

have been developed (see for instance [27–31]) and international networks have attempted to harmonize manual and automated scoring approaches [32–37]. However, automated DC scoring detects only half of the giemsa-stained dicentrics and rejects many metaphases. DC scoring can be simplified with the application of TC-FISH, which simultaneously stains telomeres and centromeres. This technique allows the detection of certain configurations of dicentrics which is technically challenging with classical Giesma staining and allows increases after doses >100 mGy to be assessed by counting 1000 cells [38]. The impact of lower doses can be assessed by counting more cells (see Table 1).

The DC assay has a low background, a high comparability between the *in vivo* and *in vitro* dose response and a low inter-individual variability. It should, therefore, be able to assess age-related sensitivity. Technical advances permitting high through-put analysis [31] should allow investigations into the low dose CT exposures. The latter has been hampered, for example, by the high number of cells required to resolve a 1.5 -2 fold increase in IR induced dicentrics in umbilical cord blood [39,40] and children under 5 years of age (Gomolka *et al.* unpublished data) compared to levels seen in blood from adults after high dose exposures.

The well-established and standardized CBMN assay in PBLs remains a significant biodosimetry tool for IR exposure and a potential alternative to the DC assay, as it requires less time for evaluation of the results and cytogenetic expertise [25,41,42]. It too can be improved using centromeric probes and used for retrospective dosimetry [43]. Nevertheless, it does not achieve the sensitivity and specificity of the DC assay.

MN-RET is also recognized as a sensitive biomarker of cytogenetic damage [44] that can be measured using fixed peripheral blood samples. The MN-RET assay shows a linear dose response ( $R^2$  0.988) after X-rays and also internal exposure following the injection

of the radioisotope  $^{18}\text{F}$ -FDG ( $R^2$  0.999) in the mouse [45]. This increase was significant at 25 mGy after X-ray whole body exposure and at 33 mGy after  $^{18}\text{F}$ -FDG exposure, demonstrating for the first time that the induction of DNA damage to mouse bone marrow can be detected and quantified accurately following injection of  $^{18}\text{F}$ -FDG.

The MN-RET assay has not been extensively assessed in humans [46,47]. Issues such as inter-individual variability with larger study numbers and identifying confounding factors, such as diet [48] and alcohol intake [49] need to be resolved to assess its use as a biomarker of exposure. Nevertheless, the approach is worth further investigation because it can assess levels of genetic damage in the bone marrow, a critical organ for leukaemia, following acute or chronic low dose external exposure or following internal contamination.

In summary, the DC assay remains the best biodosimetry biomarker even if less labor intensive methods such as CBMN and MN-RET show promise. One outstanding question to be resolved is whether radiation sensitivity shows an age dependency. Several bioassays such as  $\gamma\text{H2AX}$ , micronuclei and clonal survival assay (see for instance [50–52]) and changes in chromosomal aberration types [39,40] have been explored but lack the sensitivity in the low dose range. Automation of the DC assay offers the possibility to assess effects at doses  $<100$  mGy if up to 10,000 cells are analysed. This is, however, not an appropriate method for the assessment of past exposures and other methods such as the detection of stable translocations or micronuclei should be used.

### *3.1.2 Cytogenetic biomarkers of susceptibility and late effects*

Cytogenetic endpoints (e.g. the G2 assay) are also of interest as biomarkers of susceptibility and late effects. Several groups showed increased chromosomal radiosensitivity in cancer patients in comparison with matched healthy controls. Chromosomal radiosensitivity is clearly an inherited phenotype demonstrated in several

family and twin studies (reviewed in [53]). However, cancer patients radiosensitive in one cytogenetic assay were not necessarily radiosensitive in a different assay [54]. Also, studies of cytogenetic tests of cancer susceptibility did not investigate radiation specificity. Progress has been made in identifying genetic variants associated with cancer predisposition, which might be a better approach (see Section 3.3). Regarding use of cytogenetic assays as biomarkers of late effects, studies showed some potential to predict risk of radiotherapy side-effects but, in general, the results are equivocal.

### *3.1.3 Cytogenic biomarkers of persistent effects*

Translocation frequency, as detected by the monochrome FISH technique, was found to be linearly related to individual red bone marrow dose from incorporated Sr-89/90 above 300 mGy >50 years after irradiation in the Techa River residents [55]. The FISH translocation assay is also informative for combined external gamma and internal doses from Sr-90, albeit with fairly large uncertainties [56]. A significant linear relationship between translocations and red bone marrow dose >300 mGy from past prolonged external gamma-radiation exposure was also found in studies of Mayak workers [57]. However establishment of the relationship between translocations and dose from internal alpha-particle exposure is more complicated since translocations may be part of complex aberrations with more than three different chromosomes involved, again at moderate to high doses [58–60]. Studies of Mayak workers also demonstrated an association between intra-chromosome aberration frequency and absorbed dose from internal alpha-particles in RBM [61–63]. Estimation of individual dose using these approaches has a substantial uncertainty (90-100%), however the uncertainty for group dose estimates is lower (30-40%). Thus individual retrospective dose assessment is highly problematic [64].

The modified micronucleus-centromere test has also been used as an exposure biomarker [12]. In former uranium miners with high absorbed dose from Rn and its progeny to the lung, an increase was found in the number of centromere-free micronuclei (micronuclei containing only acentric fragments), but not in the overall frequency of micronucleus containing cells in peripheral blood lymphocytes, over a decade after the end of employment when compared to an unexposed control group [12]. Results of this study are in agreement with other studies of former uranium miners from Germany [65], suggesting that cytogenetic damage from alpha-radiation can persist for many years after exposure.

#### *3.1.4 Telomere length and loss as biomarkers of susceptibility and exposure*

TL varies between individuals [66] and decreases with age. Cells with short or damaged telomeres have increased genetic instability, which probably plays an important role in cancer etiology. Individuals with short versus long telomeres also have more radiation induced micronuclei [67]. Indeed, a strong correlation between TL and clinical radiosensitivity was demonstrated in many *in vitro* and *in vivo* studies (reviewed in [68]). For example a recent study found that while mean TL was not affected in peripheral leukocytes from 25 patients after radiation treatment (mean dose 52 Gy), there was a significant decrease in the proportion of cells with short telomeres [69]. Comparisons of TL in Hodgkin lymphoma survivors with and without cardiovascular disease indicated that survivors who develop cardiovascular disease post-radiotherapy have significant telomere shortening [70]. Thus, whilst TL, telomere maintenance, and telomere dysfunction may play a role in the prediction of individual radiosensitivity and in the long-term health risks following high dose IR exposure, studies need to examine the variation after low dose exposures and to identify all confounding factors.



### 3.1.5 *Potential IR specific chromosomal rearrangement signatures in thyroid tumour tissue*

Chromosomal rearrangements are common genetic alterations in PTC, the most common thyroid tumour. These include rearrangements involving the RET proto-oncogene, the so-called RET/PTC rearrangements, all of which lead to constitutive activation of the MAPK pathway. The frequency of the RET/PTC1 and RET/PTC3 rearrangements was initially associated with radiation exposure levels in a study of the atomic bomb survivors and some, but not all, studies of post-Chernobyl PTC [71,72]. However, similar frequencies of RET rearrangements have been observed in PTC without any history of radiation exposure [73] and RET/PTC3 rearrangements in radiation induced and sporadic PTCs from young patients, indicating a relation with age of PTC onset rather than with radiation exposure history [74–76].

Ricarte-Filho *et al.* reported a higher frequency of fusion oncogenes in post-Chernobyl radiation-induced PTCs (84.6%) compared to sporadic PTCs (33.3%) in young people from the Ukraine. These included rare TRK and BRAF rearrangements and two newly described fusion oncogenes ETV6-NTRK3 and AGK-BRAF [77]. However, these differences should be interpreted with caution as whilst the radiation-induced PTCs were examined using candidate gene assays and next-generation RNA sequencing, the sporadic PTCs in this study were only screened for known genetic alterations and not analysed by next-generation RNA sequencing. As discussed by Santoro and Carlomagno [78], the study by Ricarte-Filho and colleagues demonstrates that radiation exposure caused a selective increase of oncogenic driver events generated by gene rearrangements compared with point mutations. Leeman-Neill *et al.*, [79] detected the ETV6/NTRK3 rearrangement in 14.5% of post-Chernobyl PTCs and 2% of sporadic PTCs from the general U.S.

population. Moreover, they showed that ETV6/NTRK3 can be directly induced in thyroid cells *in vitro* after exposure to 1 Gy of <sup>131</sup>I or gamma-irradiation and thus may represent a novel mechanism of radiation-induced carcinogenesis. However, these results should also be interpreted with caution as the sporadic and post-Chernobyl PTCs were not matched. Such findings require further validation in independent tumour cohorts including appropriate matched PTCs from exposed and non-exposed patients. It should be noted that chromosomal copy number changes are also noted in thyroid tumours (see Section 3.8) and that currently no radiation specific chromosomal signature has been described in other tumour tissues.

### *3.2 DNA and nucleotide pool damage biomarkers*

IR can induce DNA lesions, which are potential biomarkers of exposure, directly or indirectly. For in-depth reviews on the possibility of identifying radiation specific lesions, the reader is referred to excellent recent publications [80,81]. Of the lesions produced, DNA strand breaks can be measured directly or by using surrogate endpoints such as  $\gamma$ H2AX foci or assays such as the comet assay. These techniques and their use were reviewed in Pernot *et al.* [9] and only  $\gamma$ H2AX is revisited here, since its measurement has been integrated into several molecular epidemiological studies (see Section 3.2.2). The nucleotide pool is also a target of IR and indirectly of oxidative stress and recent progress on the use of 8-oxo-dG as a biomarker of individual sensitivity to radiation is discussed in Section 3.2.3.

#### *3.2.1 DNA lesions*

The measurement of radiation-induced DNA lesions remains a challenge as (1) the yield of formation per dose unit is very low, with <1 modification per 1 - 10 million normal

bases per gray; and (2) many of the lesions produced by IR directly or indirectly are similar to those generated by endogenous stress. Thus, as discussed by Ravanat *et al.* [80], even in the absence of radiation, oxidative DNA lesions, such as 8-oxo-dG are detected in cells at levels around one modification per million DNA bases. Based on this, exposure to about 40 -50 Gy is needed to double the yield of 8-oxo-dG above the background level. Thus, variation in levels of DNA lesions identical to those produced by endogenous oxidative stress after exposures to low doses may be difficult to interpret in terms of radiation exposure biomarkers. Future attention needs to focus on lesions that are predominantly produced by IR, and not, or at a lower level, by endogenous oxidative stress such as DNA lesions characteristic of densely ionizing events, such as micronuclei, DC and translocations.

In this context, recent data suggest that the decomposition of the initially produced radicals contributes to the generation of complex DNA lesions. One example is the cytosine adduct dCyd341 [80] and 2',3'-dideoxynucleosides may represent potential biomarkers of low energy electrons [82]. However technical progress and confirmation that such adducts are formed *in vivo* is still needed before investigation in a molecular epidemiology setting.

In contrast to endogenous oxidative stress, some radiation-induced lesions are generated in clusters. The profile of lesion clustering varies with radiation quality: high LET IR produces greater lesion clustering due to the confined energy deposition [83]. Within this confined space, direct ionisations along the DNA backbone will generate DSBs that can be only 10-20 bp apart and reactive free radicals leading to strand cross linking. The detection and quantification of clustered lesions because of their very nature remains technically challenging but particularly interesting because the approach has the potential to be radiation specific.

The relative proximity of different lesions in clusters generates a challenging situation for the cellular DNA repair machinery with the repair of clustered lesions occurring with a slower time course compared to that of discrete DSBs and isolated lesions (see [83] for recent review). The formation and repair of complex lesions could have particularly marked consequences after low dose chronic exposures and could contribute to the non-linear patterns observed in cell survival at doses <1Gy (see [83] for recent review). It is technically feasible to envisage a bioassay where, for instance, lymphocytes could be irradiated *ex vivo* with radiation of different qualities and the formation of clustered lesions followed with time using surrogate endpoints such as formation of DNA repair foci detected by immunological based techniques or the Comet assay. However, such bioassays require access to appropriate radiation platforms and would be limited by all the technical and sensitivity constraints that apply to such measurements.

### 3.2.2 $\gamma$ H2AX and DNA repair foci

DNA DSBs are signalled by the accumulation of phosphorylated proteins at the damage sites forming DNA repair foci. One prominent protein in this DDR is the histone H2AX which is phosphorylated on Ser-139 [84], generating  $\gamma$ H2AX that can be detected and quantified using specific antibodies.  $\gamma$ H2AX can also arise in the absence of radiation exposure following replication fork stalling/collapse at regions of single stranded DNA and other processes that directly or secondarily induce DSBs such as the repair of lesions including DNA adducts, crosslinks, and UV-induced photolesions [85]. However the staining patterns are often morphologically different from the punctuate staining observed after DSB induction (see [86] for review) and with appropriate care, for example by using non-replicating lymphocytes, the  $\gamma$ H2AX signal can specifically monitor DSBs.

The formation and persistence of  $\gamma$ H2AX with time after radiation exposure has the potential to be a sensitive biomarker of exposure. Indeed foci can be detected after radiation exposure to low doses (10 mGy) such as those received after a CT scan [52,87,88]. However, detailed evaluation of  $\gamma$ H2AX as a biomarker of low dose exposure has revealed substantial difficulties, which are discussed below. A further, important limitation is that the  $\gamma$ H2AX signal disappears rapidly after exposure. Nonetheless,  $\gamma$ H2AX can be used as a biomarker of DRC and thus as a potential biomarker of susceptibility.

#### *3.2.2.1 Assay design and validation*

A number of methodological and technical issues relating to the measurement and comparison of  $\gamma$ H2AX in human populations have been highlighted in a recent systematic literature review of 68 studies, published between 2005 - 2012, that reported  $\gamma$ H2AX levels as biomarkers of either DNA damage or repair [86]. The sample size in most of the studies was generally low (mean number 38 ranging from 5 to 352) with only 7/68 having a study group of 100 or more individuals. Comparing the different systems to assess and quantify  $\gamma$ H2AX foci numbers or fluorescence (automated microscopic analysis, FACS analysis, laser scanning cytometer), FACS based methods are emerging as a fast, reliable method to analyse a large number of cells, increasing the strength of the statistical analysis. In addition, they allow assessment of heterogeneous populations and discrimination of cells in different phases of the cell cycle. However, compared to microscopic analysis, the quantification of both the number and size of foci /cell is not possible. Considerable technical variability has been observed between techniques. FACS analyses showed that the standard error of the mean often reached more than 20% [89] and, using a laser scanning cytometer to quantitatively total fluorescence per cell from

triplicate slides, the average CV was estimated to be 8.28% and 9.69% for endogenous and radiation induced  $\gamma$ -H2AX levels, respectively [89]. Data from automated microscopic analysis indicated a CV of <7.5% following radiation (1 Gy X-ray) while for endogenous damage it was 20% (Gomolka personal communication).

As discussed by Valdiglesias *et al.* [86] a critical measure for assay standardisation and an important consideration to allow the comparison of results between centres, is the availability of an expected range of reference values to validate protocols and scoring criteria. Whilst numbers of basal  $\gamma$ H2AX foci detected in peripheral blood by microscopy have been documented, no reference values could be reported in studies using flow cytometry which could limit inter-laboratory comparisons. In addition, the number of events analysed differ largely depending on the methodology used, which in turn will impact on the statistical analysis and the quantitative comparisons between studies.

Another factor that impacts on basal  $\gamma$ H2AX foci levels is the cell type. Indeed, whilst the  $\gamma$ H2AX assay can be applied to most types of cell or tissue, differences in background levels are reported. The choice of tissues will also depend on the purpose of the study and what is feasible and ethical to collect from the study population. The method of blood collection and treatment of samples, as well as the factors discussed above relating to technique and protocols, impact on  $\gamma$ H2AX quantification and can explain part of the variation seen between laboratories in  $\gamma$ H2AX measurements [88,90,91]

#### 3.2.2.2 *Intra and inter –individual variation in response*

There is limited published information on intra individual variability in terms of  $\gamma$ H2AX foci levels. For example, the analysis of two independent repeated blood samples from 8 males of  $\gamma$ H2AX background levels and radiation induced levels resulted in reliable

measurements with no statistically significant differences [92]. The variability observed was about 12%, within the technical variability for the assay.

Substantial inter-individual variability has been reported using stimulated or non-stimulated lymphocytes [93–95], lymphoblastoid cell lines [16] as well as circulating lymphocytes from prostate and breast cancer patients after fractionated radiotherapy [95] (reviewed in [86,95]). Individual factors such as age, gender, ethnicity and life style have been shown to affect the level of endogenous  $\gamma$ H2AX radiation induced foci and residual foci after DSB repair [86,93,94]. For example the induction of  $\gamma$ -H2AX at 0.5 h after *ex vivo* blood irradiation, and peak formation at 2 h were independent of age, gender and ethnicity but varied with race and alcohol use, which delayed the peak to 4 h [94].

### 3.2.2.3 Suitability for assessment of exposure and radiation quality

#### 3.2.2.3.1. Suitability of the procedure.

Several comparisons of  $\gamma$ H2AX measurements in the high dose range [34,96,97] and one in the low dose range [88] have been performed using blood samples irradiated *ex vivo* to assess this endpoint for biodosimetry purposes. Compared to other endpoints (dicentric, micronuclei, gene expression), the  $\gamma$ H2AX assay was the most rapid, providing results within 24 h. However, it showed considerable variability at both high and low doses (0.1 to 6.4 Gy), although the findings indicated that, based on  $\gamma$ H2AX levels in lymphocytes, the most severely exposed individuals within a cohort could be identified, enabling their prioritisation for accurate chromosome dosimetry [97]. If the levels of  $\gamma$ H2AX are to be used for biodosimetry, calibration curves for residual damage at different time points after exposure, adjusted for cell type and age are urgently needed (see below). Despite these limitations, it has to be recognised that levels of  $\gamma$ H2AX could provide a means of triage

after a radiation incident and simple, fast and inexpensive protocols have been developed that make use of finger-prick-sized blood volumes [98,99].

#### 3.2.2.3.2. *Suitability to estimate low dose exposures.*

An inter-laboratory low dose range comparison [88] using *ex vivo* irradiated lymphocytes showed that blinded samples could be successfully ranked on the basis of exposure dose (from 10-100 mGy) by monitoring mean foci/cell and that doses as low as 10 mGy analysed 30 min post exposure could be distinguished from sham-irradiated control samples. However, while a low level of  $\gamma$ H2AX in lymphocyte samples can indicate a low dose exposure, it did not accurately reflect an individual's exposure dose in the low dose range (10 – 50 mGy) due to technical and inter-individual variability as discussed above.

In contrast to exposure to a uniform acute dose, accidental partial body irradiation to a high dose (but causing a low total body dose) may be assessed more efficiently using foci analyses than by assessing dicentric formation [95,100,101]. Among breast and prostate cancer patients receiving radiotherapy, Zahnreich *et al*, demonstrated that foci quantitation in peripheral leukocytes immediately after a single acute heterogeneous radiation exposure to a small radiation field in the body is far more sensitive to the absorbed equivalent whole-body dose than the analysis of unstable aberrations (dicentrics) [95].

#### 3.2.2.3.3 *Suitability for assessing radiation quality.*

The spatial distribution of radiation-induced DNA lesions within the cell nucleus depends on radiation quality. As discussed above, heavy ions, alpha particles and also low energy (e.g. 29 kV) X-rays induce a complex DNA damage pattern with densely localized DNA lesions which are difficult to repair accurately. Considerable progress has been made in



our understanding of the DNA repair proteins involved in the repair of different DNA lesions by recording the cellular and spatial distribution of different DNA repair enzyme induced foci, the phosphorylation-dephosphorylation kinetics and the foci size distribution with time [102–107]. Additional information about the complexity of the DNA damage and the influence of radiation quality can also be gained by double labelling of proteins involved in different repair pathways, such as 53BP1 and  $\gamma$ H2AX [106,108]. Whether such measurements can be used in a bioassay setting, discussed below, remains to be established, but they could potentially be informative in assessing radiation quality and possibly inter-individual variability in DRC.

#### *3.2.2.4 $\gamma$ H2AX as a biomarker of susceptibility*

In contrast to the difficulties of exploiting  $\gamma$ H2AX analysis for assessing exposure, there is accumulating evidence that the persistence of  $\gamma$ H2AX with time after irradiation can be used as a surrogate endpoint to assess DRC, thereby reflecting its use as a biomarker of susceptibility. Indeed a deficiency in DNA repair, and in particular DSB repair has been shown to correlate with radiation sensitivity in patients diagnosed with AT, NBS, Ligase 4 syndrome (and other NHEJ deficiencies), Fanconi anaemia and a number of other syndromes (reviewed in [86,102]). Altered  $\gamma$ H2AX foci formation and persistence with time has also been linked to tumour radiation sensitivity (for examples see [109]), severe acute and late radiation toxicity (reviewed in [101,110]) and the risk of second malignant neoplasms in childhood cancer survivors [16]. However contradictory results on the ability of foci analyses to detect DDR defects as a surrogate marker for genetic radiation sensitivity exist and not all DDR defects will be resolved by one biomarker. Radiosensitivity detected by clonogenic assays does not always correlate with detectable defects in  $\gamma$ H2AX removal, particularly for the analysis of patients with hypomorphic

genetic changes [111]. This may be due to the presence of cells in different cell cycle phases in the test material resulting in a high non DSB specific background of  $\gamma$ H2AX the use of inappropriate cell systems, e.g. lymphocytes in G0 state for analysis of homologous recombination; the challenging radiation dose, which may not be high enough to resolve slight differences in repair efficiency; or the way in which the data are statistically analysed. Usually the mean or median number of foci remaining after a defined repair time, or time dependent repair kinetics is the endpoint assessed to monitor DRC. A novel approach proposes that the average number of foci based on the cell cycle distribution should be used [102]. The co-localisation ratio of  $\gamma$ H2AX to 53BP1 can also serve as a parameter for radiation sensitivity. It was shown to be 1 in a normal cell line but to deviate from this ratio in radiosensitive cell lines. According to Martin *et al.* even mild differences in repair defects, masked using standard average foci calculations, can be distinguished using these strategies [102]. This needs validating in further cell lines or lymphocytes with known radiation sensitive phenotypes. Finally, the radiation exposure scheme may also be important. It is possible that after a single acute exposure, less inter-individual variation in DNA repair is seen than after fractionated irradiation as used in radiotherapy protocols [112].

In summary, detailed analysis has suggested that  $\gamma$ H2AX analysis has limitations in its utility as a biomarker to detect low dose exposure due to technical and inter-individual variability, by confounding effects caused by exposures other than radiation including disease states. However, the assay does have the potential to be suitable for assessment of DSB repair capacity, which is important for assessing the response to radiation exposure.

### 3.2.3 Extracellular 8-oxo-dG

Extracellular 8-oxo-7,8-dihydro-2'- deoxyguanosine (8-oxo-dG) in blood serum, urine and cell culture medium has been suggested to be a general biomarker of oxidative stress. The levels vary with life style factors, certain disease states and exposure to different stressors such as IR [113–115]. As previously discussed [9], extracellular 8-oxo-dG levels in blood and urine is not a specific biomarker of radiation exposure due to the impact of confounding factors. However extracellular 8-oxo-dG as a biomarker for individual sensitivity to radiation may be a more promising application both as a diagnostic tool for personalized radiotherapy and as tool to better understand the extent of radiation-response variations in the normal population. Used in a bioassay, changes in the extracellular levels of 8-oxo-dG in blood serum in response to *ex vivo* low dose radiation have been shown to correlate with acute or late healthy tissue adverse reactions [115–119]. The development of techniques for analysis of 8-oxo-dG in saliva is ongoing and can add to the usefulness of saliva as a non-invasive source for biomarker studies in radiation research [17]. Further studies are needed to validate that 8-oxo-dG can be used as a diagnostic tool for individual sensitivity as well as of the mechanisms linking oxidative stress response with individual sensitivity.

### 3.3 Biomarkers related to germline variants

The established genetic basis for radiosensitivity underpins research aimed at identifying germline variants as biomarkers of susceptibility to radiation-induced health effects [120]. An advantage of the approach is that, once identified, any test will not suffer from the poor reproducibility associated with functional assays measuring radiosensitivity. There is no need to assess biomarker precision, accuracy and cross laboratory reliability as the technology needed is available in clinical laboratories. Germline variant biomarker

research is all about discovery – finding enough variants to develop a test to identify individuals who are radiation sensitive. A disadvantage is the need for very large collaborative studies to identify sufficient variants.

Supplementary Table 3.3.1 lists the currently known genes where rare mutations are associated with susceptibility to radiation-induced effects. Many are associated with the DDR and are either very likely or known to increase risk of radiation-induced cancers. However, the rarity of homozygous carriers of such gene mutations in the general population and the fact that such individuals are generally identified phenotypically limits their contribution to radiation sensitivity in population studies. Heterozygous carriage of gene mutations associated with certain DDR disorders can confer a subtle phenotype, including cancer predisposition, and there is mounting evidence from mouse and human studies that even a two-fold reduction in the levels of some proteins can confer a significant increase in cancer risk [121]. Indeed an increased risk of primary breast cancer was reported in *BRCA1/2* mutation carriers exposed to low dose diagnostic radiation under 30 years of age [122]. Whether *ATM* heterozygotes have an increased risk of radiation effects continues to be debated, but better technology and study design are improving the quality of studies. Women's Environmental, Cancer, and Radiation Epidemiology (WECARE) is a population-based study of cases with contralateral breast cancer and matched controls with unilateral breast cancer. WECARE showed women carrying rare missense variants in *ATM* may have an increase risk of IR-induced breast cancer [123].

Although studying mutation carriers is of interest, studies need to be very large to have sufficient statistical power because of the low prevalence of individual mutations. Therefore, research has tended over the past 10 years to focus on identifying the common genetic variants seen in >1% of the population (SNPs) for which there is growing

evidence of functional impact. The quality of SNP biomarker discovery in the IR field has improved since the Pernot publication aided by the establishment of the Radiogenomics Consortium [124] and the development of reporting of guidelines for radiogenomic studies [120]. Supplementary Table 3.3.2 lists recent publications involving >1,000 participants that investigated SNPs associated with IR late effects.

Regarding SNPs associated with susceptibility, the WECARE study investigated 152 SNPs in activators and downstream targets of *ATM* (*CHEK2*, *MRE11A*, *MDC1*, *NBN*, *RAD50*, *TP53BP1*) in relation to IR exposure and contralateral breast cancer risk. Carriers of a haplotype in *RAD50* treated with IR had a greater contralateral breast cancer risk than unexposed carriers [125].

There has also been a number of GWAS since the Pernot publication. Large cooperative GWAS outside the IR field highlight the potential to identify a large number of SNPs, with individual variants having small effect sizes but together accounting for a meaningful proportion of susceptibility for a trait/disease [126].

The radiogenomic GWAS carried out to date show the potential to identify SNPs associated with IR effects and susceptibility (Supplementary Table 3.3.2 and references therein). For example, a variant in *PRDMI* was associated with second malignancies in individuals who underwent radiotherapy for Hodgkin's lymphoma as children [127]. Interestingly the gene encodes a protein involved in the immune response to viral infection. It was suggested that *PRDMI* might be a candidate tumour suppressor gene mediated by IR exposure, which raises the possibility that alterations in immune regulation contribute to increased risk [128]. The GWAS involved younger subjects where the effect size for genetic susceptibility should be stronger (less masked by environmental influences such as smoking) thus increasing statistical power and reducing the sample sizes required [128].

Genetic studies are identifying SNPs associated with IR late effects and susceptibility and more will emerge over the next five years. Future studies are likely to explore other types of genetic variation that might be important: CNV, insertions and deletions, mitochondrial DNA variants and germline methylation. It might also be useful to investigate whether the SNPs associated with other endpoints relevant for IR epidemiology (e.g. cancer susceptibility, cardiovascular disease and cataracts) are associated with IR susceptibility.

It is anticipated that a future germline variant epidemiology biomarker would be a polygenic risk score based on genotyping tens to a couple of hundred of genetic variants. A blood or saliva sample would be required and the results would be generated rapidly in laboratories that are accredited to carry out genetic testing. Biomarkers related to genetic variants have promise for use in identifying individual risks for late effects and susceptibility in epidemiology studies. The challenge lies in designing studies with sufficient statistical power and identifying cohorts with the data and samples required.

#### *3.4 Biomarkers related to induced mutations*

Whilst it is well established that IR is a mutagenic agent, whether IR induced tumours have a specific mutation profile remains to be fully established. Mutational signatures have been identified using whole exome sequencing in mouse models of second malignant neoplasms mutational signatures [129]) and new findings using whole genome sequencing have unraveled a specific pattern of mutations in IR associated human tumours [130]. Two signatures of somatic mutations were found irrespectively of the tumour type. Both extra genome-wide small deletions (1-100 base pairs), often with micro-homology at the junction, and a significant increase in balanced inversions were

detected. These distinctive mutational signatures could explain the carcinogenic potential of IR.

As discussed in section 3.1.4 one of the few examples of a possible IR associated genetic alteration is the gain of chromosomal band 7q11.23 in PTC in young patients exposed to <sup>131</sup>I from the Chernobyl fallout [131]. An unbiased genome wide survey of germline mutations induced in mice after parental exposure to IR was recently conducted [132], showing a significantly higher frequency of *de novo* CNVs and insertion/deletion events in offspring of exposed fathers, as well as over-representation of clustered mutations in the spectrum of induced *de novo* single nucleotide variants. Whether this finding can be translated into a signature specific to IR exposure suitable for use in molecular epidemiological studies to, for instance, classify tumours as IR induced will be a future challenge.

### *3.5 Biomarkers related to transcriptional and translational changes*

#### *3.5.1 Biomarkers related to changes in RNA levels*

A substantial number of recent studies have reported gene expression signatures for IR exposure biodosimetry identified using different classification models like *k*-Nearest Neighbors, Nearest (Shrunken) Centroids or Random Forests (Supplementary Table 3.5.1.1), and validated the IR-responsiveness of such signatures with ever decreasing doses (Table 2). These studies have been made possible by many technological advances, including; customized qRT-PCR arrays [133] or multiplex qRT-PCR assays [134,135], which allow rapid PCR amplification of a significant number of genes. Other technologies include the Nanostring [134,136] and Chemical Ligation Dependent Probe Amplification [137,138] methods, with the added advantage that they do not require prior complementary DNA synthesis. These advances, which would allow targeted screening

of small gene signatures (typically <100 genes) as opposed to genome-wide technologies, are appropriate for high-throughput screenings in the situation of a large-scale event [139]. The recent use of exon-level microarrays to evaluate genome-wide IR-induced gene expression and alternative splicing in different experimental models has revealed that a number of genes express transcript variants in response to IR [23,140–142] which could prove to be highly specific IR biomarkers (further discussed below).

Most, if not all, of such studies have identified very similar gene signatures, despite differences in the subjects, IR doses, dose rates, radiation qualities, cell/tissue types, sampling times and gene expression platforms used (Table 2). For instance, in a comparison of four studies using different IR sources and biological samples (gamma-rays on whole blood, X-rays on PBMCs, alpha particles on PBMCs and gamma rays on primary keratinocytes and fibroblasts) [23] 14 genes showed dose-dependent induction in all studies: *DDB2*, *POLH*, *MDM2*, *RPS27L*, *FDXR*, *CCNG1*, *TRIAP1*, *SESNI*, *FBXO22*, *PPM1D*, *ANKRA2*, *CDKN1A*, *TRIM22*, and *BBC3*. This demonstrates the robustness of these signatures and their suitability as IR-exposure biomarkers. Indeed, a recent NATO biodosimetry study showed that single genes as well as gene signatures could be used to estimate exposure of blood samples to IR doses of 0.1 to 6.4 Gy with a similar accuracy and sensitivity as established cytogenetic assays [137]. Importantly these signatures, which have mostly emerged from studies involving *ex vivo* irradiated blood samples, are also applicable for estimating exposures in radiation therapy patients [139,143,144]. Changes in expression levels of some of these genes up to 48 h after irradiation have been demonstrated. Although this is a short time period for epidemiological studies, it would be sufficient for use in a radiological accident. Moreover, since the transcriptional responses of individual genes display different kinetics, one can envisage that gene expression signatures could also be used to accurately



predict not only the dose, but also the time since exposure (Macaeva and Quintens, unpublished data). In this respect, the use of a signature consisting of a number of different genes, each with their maximum response at a different time point offers a significant advantage over a single gene biodosimeter.

Several studies have shown dose-dependent changes in gene expression after exposure to doses as low as 5 mGy (Table 2) [135,145]. Exposure to 5-25 mGy mostly induced genes involved in metabolic processes and chromatin organization, while the p53-mediated pathways and DDR were mostly activated at doses of 25 mGy and above [145]. This is attributed to the fact that at low doses a DSB is not induced in every cell. A linear dose-response relation up to 1 Gy was seen for nine genes with *CCNG1*, *DDB2*, *FDXR*, *GADD45A*, and *PHPT1* being significantly differentially expressed at doses  $\leq 100$  mGy. Such results highlight that gene signatures may well be applicable for biodosimetry purposes in the low-dose range.

Amongst the genes that have been identified as radiation-responsive, *FDXR* has emerged as accurate and reliable for dose estimation in human blood samples [137,146–148]. *FDXR*, also known as adrenodoxin reductase, is a mitochondrial flavoprotein that transfers electrons from NADPH to mitochondrial cytochrome P450 enzymes (Figure 1), mediating the function of ferredoxin [149]. It is involved in multiple processes mediated through p53 as well as ROS associated apoptosis [150,151]. Quantitatively, *FDXR* is one of the most radiation-responsive genes (e.g. up to 46-fold upregulation 24 h after 4 Gy irradiation in human blood), with relatively small inter-individual variability. This allows easy discrimination between high and low dose exposure [148] although a saturation dose effect occurs at doses  $>2$  Gy. *FDXR* has been validated as a sensitive gene to assess dose in irradiated patients in two large scale studies involving nine labs [137,139] and found to be the best gene for dose assessment by four of the seven partner laboratories in a

NATO led exercise [137]. A RENEb inter-laboratory comparison exercise also found it was the best gene for dose estimation for *ex vivo* irradiated blood from 12 donors and, for the first time, could distinguish blood samples of prostate cancer patients exposed to 0.009-0.017 Gy (first fraction only, partial body exposure) [139].

One of the recurrent questions for the use of an endpoint as a low dose IR biomarker is its specificity. As the genes often found in IR signatures are responsive to DNA damage, smoking is a potential confounder of concern. Paul and Amundson showed that smoking did not affect the predictive performance of their 74-gene IR response signature in peripheral blood, and only one gene was differentially expressed between males and females [152]. Importantly, this signature classified 98% of the samples correctly according to dose (0, 0.1, 0.5, or 2 Gy), irrespective of gender and smoking. The effect of inflammatory stress has also been investigated. Whilst a panel of eight genes which, in combination with one phosphoprotein marker, could classify human peripheral blood samples with an accuracy of 88% depending on their IR exposure (0 or 2 Gy), inflammation status, or both [153] it remains to be established whether this will still be the case after lower exposure doses. A study in mice found that many genes would retain their potential utility as IR biomarkers regardless of whether the animals were treated with LPS or granulocyte-colony stimulating factor over a dose range of 0.2 – 0.6 Gy [144,154].

#### *3.5.1.1 IR induced alternative splicing*

As discussed above, recent studies using exon-level microarrays to examine transcript changes have shown that a large number of genes, including *FDXR* (Figure 1), express transcript variants in response to IR [23,140–142]. In the case of IR, this seems to result mostly from alternative promoter usage by p53 [140,142], although changes in RNA

polymerase elongation [155] or interactions between the RNA polymerase and splicing factors [156,157] may also be involved, as has been shown for other genotoxicants, such as UV irradiation. However, the specificity of these alternative splicing events for exposure to IR compared to UV has yet to be established.

Importantly, it was demonstrated that gene and exon signatures from PBMCs were equally suitable for correctly (100% accuracy) classifying samples that were either not irradiated, irradiated with a low dose (0.1 Gy) or a high dose (1 Gy) of X-rays. As IR-induced differences in expression were more pronounced at the exon-level compared to genes, exon signatures may potentially be useful exposure markers for doses below 0.1 Gy [23]. IR-induced expression of transcript variants may explain differences in expression levels obtained by primer- or probe-based assays between different studies, which could result from the interrogation of more or less responsive exons. Therefore, when using such assays for biodosimetry purposes, it is important to target the correct combination of exons.

Further investigations into the transcriptional IR response of cells and tissues using, for instance, next-generation sequencing of transcriptomes, would be very valuable for identifying the exact sequence identity of IR-induced splice variants. Although not immediately applicable for biomarker purposes, the functional characterisation of these variants would significantly increase our understanding of the molecular mechanisms involved in the (low-dose) IR response.

#### *3.5.1.2 Transcriptional biomarkers of susceptibility/late or persistent effects*

Although most of the work on transcriptional IR biomarkers has focused on finding biomarkers of IR exposure, several reports have attempted to identify either pathways or gene signatures predictive of susceptibility and late health effects.

### 3.5.1.2.1 Transcriptional biomarkers of susceptibility

The ATM/CHEK2/p53 pathway responds to DSBs, leading to cell cycle arrest and DNA repair through the transcription of genes including *CDKN1A* (*p21*) and *DDB2* (Figure 1). Failure to undergo repair may result in enhanced apoptosis (e.g. via *BBC3* (PUMA)), permanent cell cycle arrest or senescence. Using mouse strains differing in copy numbers of *Atm*, *Trp53* (p53) and *Chek2*, IR-induced changes in transcription of the p53 target genes *Cdkn1a*, *Puma* and *Sesn2* were strongly dependent on DDR pathway component copy number, with the best correlation being seen for *Puma* [139,163]. *Atm*/Chek2/p53 pathway activity as assessed by the *Puma* response correlated well with cancer incidence in mice with differing *Trp53* gene copy number [158]. The mouse data was validated in humans by examining mitogen stimulated T-lymphocyte cultures from healthy donors, *ATM* mutation carriers and Li Fraumeni Syndrome patients following irradiation [163]. *PUMA* upregulation after irradiation was observed, with the AT case having a very weak response and AT heterozygous carriers and LFS samples showing an intermediate response. Inter-individual variability in the activity of the ATM/CHEK2/p53 pathway was assessed in blood samples from the same 32 healthy donors irradiated *ex vivo* with 2 Gy. *PUMA* gene expression examined at 2 h was compared against the previously obtained mouse p53 copy number linear curve and was found to be consistently over- or under-expressed in comparison to the mean for specific donors, suggesting natural variation between individuals (Badie personal communication). These data demonstrate that an integrative biological approach monitoring IR-induced changes in key p53 regulated genes in blood samples can provide a read-out of DDR pathway activity, with a potential link to susceptibility. P53-independent genes have also been proposed to predict susceptibility to IR. Forrester *et al.* identified an 8-gene signature (*DDIT4L*, *DPT*,

*FBN2*, *FST*, *GPRC5B*, *NOTCH3*, *PLCB1*, and *SGCG*) that could potentially predict fibrosis in patients prior to radiotherapy [159].

#### 3.5.1.2.2 *Transcriptional biomarkers of health effects*

There are few studies on the transcriptional changes linked to health effects. For instance p16<sup>INK4A</sup> may be a potential biomarker for the long-term health effects of childhood radiotherapy in acute lymphoblastic leukemia survivors [160]. p16<sup>INK4A</sup> is a marker of senescence and, although skin biopsies were taken on average 12 years after IR therapy, its expression was increased in irradiated skin compared to non-irradiated skin from about half of these patients.

Radiation workers (in medicine or industry) generally receive low doses of IR protracted over the duration of their work. In Mayak nuclear workers, who received higher doses in early years than most nuclear workers, associations were observed between gene expression profiles in the peripheral blood and chronic non-cancer disease outcomes. Twelve mRNAs and nine miRNAs were significantly associated with six different diseases, particularly those related to atherosclerotic processes. These associations were gender- and dose-dependent and 26 potential confounders were considered including age at exposure, age at biosampling, demographic, social habits, data related to health status [161]. Other studies found long-term changes in gene expression in medical workers [162,163], and in thyroid cancer tissue from patients exposed to Chernobyl fallout [164,165]. Although the evidence from these studies remains preliminary and many from high dose exposures, they highlight the potential for gene expression signatures as biomarkers of late effects of IR exposure.

### 3.5.2 Biomarkers related to changes in protein levels

As discussed in [9], the identification of IR-associated protein biomarkers is challenging because of the time and dose-dependent variation in protein expression. A number of recent proteomic studies have investigated high dose IR induced alterations in the proteome of different bio-fluids including serum and urine with the aim of identifying biomarkers of exposure and IR sensitivity applicable to radiological emergencies. For instance Chaze *et al.* [166], found an increased up-regulation of genes involved in the glycolysation in liver and increased serum cytokines, suggesting a systemic response to local irradiation of the skin to 20, 40, and 80 Gy gamma rays. Analysis of three proteins (Apolipoprotein E, Factor X and Panththenate Kinase 4) in blood samples allowed discrimination up to 1 month following exposure to  $< 2$  Gy and  $> 10$  Gy in breast cancer patients, although differences at  $< 2$  Gy were subtle [167]. Similarly [168] found that changes in serum amyloid A (SAA) levels permitted a dose prediction model to discriminate  $\leq 1$  Gy from  $\geq 2$  Gy irradiated mice .

To investigate the mechanisms underlying individual IR sensitivity Skiöld *et al.* [169] compared the proteome profiles of leukocytes from *ex vivo* irradiated whole blood following 0, 1, or 150 mGy from normal responding and extremely radiosensitive patients. Proteomics analysis showed unique proteomic signatures separating the two groups at the basal level and after doses of 1 and 150 mGy. Pathway analysis suggested that the oxidative stress response, coagulation and acute phase response are hallmarks of IR sensitivity.

Although the literature after low dose IR remains limited these findings indicate that IR causes detectable changes in the bio-fluid profile at high doses and may differentiate radiosensitive individuals even at low doses. The main questions that needs addressing are; 1) whether the alterations in bio-fluid proteins are unique for IR exposure or whether

they arise in more general physiological states such as inflammation; and 2) whether the low dose results are confirmed.

### 3.5.3 *Correlations between proteins and mRNA and pathway mapping*

The last two decades have seen an important emergence of technologies which allow high-throughput “omic” analyses. While originally analyzed separately, we have now reached the era of systems biology, in which these quantitative data-sets can be integrated. Studies investigating genome-wide correlations between mRNA and protein expression levels in different organisms found that mRNA levels predict only 30-50% of cellular protein levels [170], although higher correlations have also been observed [171], highlighting the importance of post-transcriptional regulatory processes and measurement noise that contribute to protein expression levels [172]. Indeed, correlations between mRNA and protein levels are significantly higher when considering genes that are differentially expressed after a treatment [173,174]. Also, removing experimental noise increases mRNA-protein expression correlations [170].

As discussed above, both transcriptomic and proteomic analyses have identified IR biomarkers, albeit mainly for exposure. However, mRNA and protein levels are rarely measured in the same study, nor have they been combined for the purpose of predicting unknown IR doses or as markers for IR sensitivity or late effects. A recent study analyzed protein phosphorylation (H2AX, p53 and ATM) and gene expression of DDR genes (*ATF6*, *BAX*, *BBC3*, *DDB2*, *MDM2* and *TP53*) in T-lymphocytes from patients before and after (24 and 48 h) single-photon emission computed tomography myocardial perfusion imaging [175]. Patients received effective doses of  $18.2 \pm 10.6$  mSv. Only a small number of these patients showed increased protein phosphorylation associated with

increased expression of DDR genes (*BAX*, *DDB2*, *MDM2* and *TP53*). The other patients either had no change or decreased expression of DDR genes.

Another possible interaction between different molecular layers is that of the microRNome and proteome. Indeed, the function of microRNAs is to either degrade RNA messengers or prevent translation of their targets, thereby negatively influencing protein expression levels. Studies investigating correlations between microRNA and protein expression profiles in response to IR in different experimental models [176–178] found that moderate IR doses (200-500 mGy) affected expression of some microRNAs (see section 3.6.2) while some of their predicted targets were oppositely regulated at the protein level.

In an important effort towards data integration following exposure of an *in vitro* 3-D human skin model to low IR doses [179], the temporal response (from 1 to 72 h) of dermal and epidermal layers to 100 mGy of X-rays was investigated using transcriptomic, proteomic, phosphoproteomic and metabolomic platforms. Besides cell cycle regulation and DNA damage signaling, mostly affected at the mRNA level, matrix regulation and oxidative stress response pathways were also modulated. The latter was predicted to be primarily regulated through the transcription factor SP1, which was shown to be activated (phosphorylated) in a dose-dependent way [179]. Such studies are in their infancy but demonstrate the power to identify pathways with expression changes modulated by low dose IR, which may represent potential IR biomarkers. They also highlight the dynamic nature of changes and the challenge of identifying a signature that is able to resolve both dose and time since exposure.



### 3.6 Biomarkers related to epigenomic modifications

#### 3.6.1 IR induced protein post-translational modifications

The analysis of IR-induced changes in global proteome profiles highlights the broad range of alterations in the cellular processes that are regulated by PTMs including phosphorylation, acetylation, ubiquitination, and neddylation. The regulatory network of DRR is one of the best examples of a gene network regulated by PTMs which impact on protein activity and stability rapidly and independently of the changes in *de novo* protein synthesis [180,181] of which  $\gamma$ H2AX is one of the most studied examples (section 3.2.2). Recent advanced comparative proteomics methods applied in radiation biology [182] enable the analysis of PTM status after IR exposure. There are solid data describing the PTMs after high-dose exposure [183,184] but studies investigating PTMs after low-dose exposures are scarce, experimentally challenging and, as for high dose profiling, may be limited by the model system under investigation. For instance, the IR induced alterations in proteins found in a human skin model after IR doses (0.03, 0.1 and 2 Gy) were dispersed throughout the entire skin tissue with altered protein phosphorylation status being found in each layer with the majority corresponding to skin structural proteins (such as keratins and desmosomal proteins) involved in maintaining tissue integrity [185]. This suggests that the skin as a whole responds to IR and different epithelial layers may have different roles to maintain skin structural and genomic integrity following exposure to such a tissue stress.

Acetylation has mainly been studied in the context of transcriptional activity and as a regulator of chromatin accessibility through histone modification after high dose exposure to IR. For instance a hypoacetylation of H3K9, H3K56, H4K5, and H4K16 in lymphoblastoid cell lines is seen 15 min, 1 h and 24 h after exposure to 2 Gy and 10 Gy

which may play a role in the increased cellular radiosensitivity [186]. The DDR is also regulated by the ubiquitination of key proteins [187]. High dose IR-induced DDR ubiquitination is as prevalent as phosphorylation and significantly more common than acetylation (see for instance [188]). It has been shown that protein ubiquitination is associated with the recruitment of DNA repair factors [189] and also the activation of key response proteins such as ATM. In addition to ubiquitin, it has been shown that the ubiquitin-like proteins such as small ubiquitin-like modifiers (SUMO), and neural precursor cell expressed developmentally down-regulated protein 8 (NEDD8) play essential roles in the cellular response to DNA damage [180]. However, such modifications have not been examined after low doses and it is not yet clear whether they would be good biomarkers of IR exposure or responses.

These examples illustrate how PTM studies may lead to identification of IR-specific-signatures to serve as possible biomarkers of exposure. Although the identification of PTMs is an anticipated goal in many clinical studies, the complex and sophisticated methodology necessary for a successful PTM analysis makes such studies challenging particularly in an epidemiological setting where sample collection with respect to exposure is often limited. Therefore, screening of potential IR-induced post-translational biomarkers with high confidence, reproducibility and accuracy using different biomaterials still remains a vision of the future.

### *3.6.2 Non-coding RNAs*

ncRNAs are emerging as important biological molecules [190]. They are grouped broadly into two classes based on transcript size: small ncRNAs and lncRNAs. The small ncRNAs include miRNAs that are ~22 nucleotides long and are involved in the regulation of mRNAs following their transcription [191,192].

miRNA expression profiles are tissue-specific, can be modulated following exposure to both low and high LET irradiations and have potential as biomarkers of IR exposure [176,193–199] (Supplementary Table 3.6.2 and references therein). miRNA is more stable than mRNA, can be extracted from paraffin-embedded material [195], and is detectable in biological fluids after exposure to IR [196,197]. Although most studies to date involved blood samples, miRNAs can be measured in many biological fluids including saliva, tears, seminal fluid, breast milk and cerebrospinal fluid [200]. Most published data described the impact of high and moderate doses of IR and reported changes in a short time scale (minutes to hours) after exposure. There is a lack of data describing the effects of low doses and long-term effects (Supplementary Table 3.6.2).

LncRNAs also have developmental and tissue-specific expression patterns, and aberrant regulation in a variety of diseases, including cancer [190,201]. LncRNAs are mRNA-like transcripts ranging in length from 200 nucleotides to ~ 100 kilobases lacking significant open reading frames. These long polyadenylated RNAs do not code for proteins, but function directly as RNAs, recruiting chromatin modifiers to mediate transcriptional changes in processes ranging from X-inactivation (lncRNA XIST), imprinting (lncRNA H19) to genome-wide chromatin reprogramming (lncRNA HOTAIR) [202].

Evidence that IR exposure elicits dose- and time-dependent changes in the expression of ncRNAs that are influenced by the genetic background has come from studies monitoring the expression of 19 miRNAs and 3 lncRNAs [158] in stimulated human T lymphocytes obtained from two healthy donors and one patient with AT with the observation that FAS-AS1 lncRNA is up-regulated by IR exposure in an ATM-dependent fashion.

Exposure to low-dose IR also causes transiently elevated expression of the lncRNA *PARTICLE* [203]. *PARTICLE* is a tuner of cellular methylation following IR exposure. Significantly, the effect of *PARTICLE* in limiting the time and extent of the IR-induced

increase in DNA methylation is more pronounced at lower than higher doses providing evidence of a non-linear effect. Increases in *PARTICLE* expression were noted in plasma samples from post-IR therapy patients [203]. Further experiments are needed to assess whether it may be a suitable biomarker of low dose exposures. Recently Macaeva *et al.*, 2016 suggested lncRNAs as potential IR biomarkers. For instance PAPPAS1, a lncRNA transcribed from the opposite strand of the radiation-responsive gene PAPPAS, is among the 20 best genes to distinguish between exposure to 0, 0.1 and 1.0 Gy [23].

In terms of future developments, apart from the need for more robust studies at low doses, an improvement in detection technologies and the development of dedicated biosensors is crucial. The future of ncRNA biomarkers is promising [204] and we are only at the very beginning of our understanding of their biological roles.

### 3.7 Other biomarkers

#### 3.7.1 Biomarkers associated with RedOx imbalance

It is well accepted that oxidative stress, caused by the imbalance between the production of ROS or RNS species and their elimination by antioxidant defense systems, contributes to pathogenic mechanisms of several diseases. The markers of oxidative modifications associated with exposure to IR in experimental models and in humans were reviewed by Pernot *et al.* [9] and more recently by [81]. These include biomarkers of elevated ROS levels such as 8-oxo-dG (section 3.2.3), markers of antioxidants such as catalase expression, arising as a consequence of increased ROS, and markers of enzymes generating ROS. Studies linking IR exposure to the oxidation of biomolecules continue to emerge but few have investigated low-dose exposures. In mice with doses of 50-75 mGy, anti-oxidative effects were observed with increased expression and function of renal Nrf2 transcription factor and its target anti-oxidant enzymes SOD1, HO-1 or NQO-

1 [205,206]. A study of cognitive defects in irradiated mice showed a decrease of total malondialdehyde-modified protein content in the hippocampus at 1 Gy [178] and the analysis of S-nitrosothiols, a post-translational modification of proteins, by mass spectrometry in brain, liver and plasma showed a decreased level after exposure to 100 mGy [207] suggesting that markers of redox imbalance might be associated indicative of cognitive defects. Persistent (40 week) increases in protein carbonylation in the cardiovascular system after a single heart dose to C57BL/6 mice were detected after exposure to 2 Gy but not after 0.2 Gy [176].

Thus whilst RedOx balance markers are important for the evaluation of IR induced effects and disease and are induced by high dose IR, further studies are needed at low-dose effects to assess their usefulness as indicators of damage or adaptive responses in molecular epidemiological studies.

### 3.7.2 *Metabolites and metabolomics*

Metabolomics has potential for the development of early biomarkers of exposure but the field is still in its infancy. Major obstacles in global metabolomics profiling are the identification of unknown compounds [208]; the sheer complexity of the metabolome, requiring advanced equipment and data processing tools [81]; and the lack of IR specificity of some metabolic biomarkers [209]. Nevertheless, this technology is powerful if used in defined conditions [81] especially if combined with other “omics” technologies [179] and is particularly amenable for use with urine samples. In addition, some changes can be detected before the onset of any clinical symptoms suggesting that they may be biomarkers for early disease onset [210].

Two technologies currently dominate metabolomics research: NMR spectroscopy and MS. Although NMR has many advantages (such as non-selectivity, lack of sampling bias and reproducibility), it is hampered by low sensitivity, requiring large amounts of sample.

MS-based methods on the other hand are highly sensitive and incorporate upstream online analytical separation steps for metabolites, including LC, GC, or capillary electrophoresis. A broad spectrum of IR-induced metabolite alterations have been detected although many of the studies have used high dose treatments (Supplementary Table 3.7.1). Mitochondria are an established target of IR [211–214], and many metabolite biomarkers are directly or indirectly involved in mitochondrial metabolism.

#### *3.7.2.1 Metabolite biomarkers in urine and serum*

Recent pre-clinical studies have shown the ability of metabolomic approaches to detect differences in urinary profiles related to dose, dose-rate and IR quality [215–218]. Gender specific differences were found in non-human primates [219] after doses > 6.5 Gy which persisted up to 7 days post-irradiation [220] and cancer patients undergoing total body irradiation [221]. Whether such differences exist after low dose exposures remains to be established.

Only a few IR metabolomics studies used serum samples but they employed high doses [222–224]. With improving technology, alterations were detected in rat serum 24 h after irradiation with 0.75 Gy gamma rays [225] and profiles after lower dose exposures are now technically feasible.

#### *3.7.2.2 Metabolite biomarkers in cells and tissues*

Whilst metabolomic studies of body fluids reflect several different biological processes, only the study of cells and tissues will address tissue-specific IR exposure and effects.

Time- and dose-dependent changes were measurable in a full thickness human skin tissue model irradiated with 0.03 or 0.1 Gy and assessed at 3, 24 and 48 h [226]. While no changes in extracted metabolites were observed 3 h following irradiation with 0.03 or 0.1 Gy, by 48 h changes were seen at all doses. These metabolites have potential as

biomarkers of radiation exposure. Analysis of the metabolites dysregulated at 48 h following low dose exposure identified perturbations in pathways involving DNA/RNA damage and repair, and lipid and energy metabolism.

Human B lymphoblastoid and fibroblasts cells were used to identify low dose responsive metabolites 10 h after exposure of 0.02, 0.1, and 1.0 Gy X-rays [227]. Measurable changes were seen at 0.1Gy but not 0.02 Gy and there were marked differences between the cell types. Li *et al.* investigated the effect of total body gamma IR (0.1, 0.5 and 3.0 Gy) on T cell activation and metabolism in irradiated male C57BL/6 mice at 4 hour, 1 week, and 2 week time points [228] The T cell receptor-activation induced metabolomics changes were altered in a radiation- dose- and time-dependent manner. Effects were seen at 0.5 Gy but not at lower doses, consistent with findings of a reduced percentage of naïve T cells reported in the A-bomb cohort [81,229]. It is not known whether these metabolomics changes contribute to the known immune cell stimulatory effect of low dose IR.

### 3.7.3 Biophysical markers

There is interest in the development of biodosimeters that measure physical or chemical changes in non-biological (e.g. cloth, glass, plastic etc) or biological (e.g. nails, teeth, hair) samples after IR exposure. The relatively stable chemical species generated are detectable by, for instance, EPR spectroscopy. A challenge for *ex vivo* EPR nail dosimetry is the overlap between the mechanically induced signals produced by cutting nails and the IR induced signals. This overlap can, to some extent, be overcome by spectral fitting (see for example [230]) and identifying stable IR-induced radical(s) [231]. To date this technology was used to estimate high dose localised exposures to the hands (>10 Gy) after severe IR accidents (see [231] and references there in). EPR on tooth

enamel was also used to measure exposure to accidental noble gas release from the Mayak Production Association, natural background IR ( $\sim 0.7 \pm 0.3$  mGy annually to tooth enamel) and routinely released noble gases in Ozyorsk citizens (see for instance [232]). It has also been used in external dose reconstructions in Chernobyl accident recovery workers [233,234] and in people living near the contaminated Techa River [235]. In the latter studies, with relatively high doses, both EPR and FISH based dose estimates were comparable and agreed with estimates of external and  $^{137}\text{Cs}$ -internal exposures calculated with the Techa River Dosimetry System. Alternative EPR test materials also show promise, e.g., glass from the touch screens of smart phones, but there are technical issues, such as the variability of samples from different smart phones and environmental conditions (see for example [236]) that must be resolved.

#### 3.7.4 *Circulating DNAs*

ccfDNA is being explored as an early biomarker of cancer [237], and is of interest as a potential biomarker of IR exposure. Short DNA fragments, resulting from IR induced DSBs or released from cells as a result of physiological processes, can be extracted from blood and detected using qPCR techniques. After *in vivo* irradiation, atomic force microscopy can characterise the size distribution of individual DNA fragments extracted from blood, with high LET neutrons producing shorter DNA fragments than low LET electrons. This may be of interest for the development of exposure biomarkers which identify radiation quality. Two studies recently reported ccfDNA levels in populations exposed to low IR levels. Borghini *et al.* [238] found no correlation between recorded lifetime dose and ccf-DNA or mtDNA fragments in a subset of 15 interventional cardiologists. However, ccf-DNA and mtDNA fragments tended to be significantly increased in interventional cardiologists exposed to higher doses ( $\geq 52$  mSv, median lifetime cumulative dose) compared to lower levels and controls. In contrast Korzeneva



*et al.* [239] found decreased plasma ccfDNA concentrations in individuals exposed to low-dose gamma-neutron or tritium beta-radiation. Thus whilst the quantification of ccfDNA represents an attractive minimally invasive approach for developing IR biomarkers, it is too early to comment further on its potential.

### 3.7.5 DNA repair capacity measurements as biomarkers of susceptibility

There is substantial evidence for inter-individual differences in DNA repair capacity (reviewed in [240]). This ability can be assessed directly using  $\gamma$ H2AX or other DNA repair foci measurements (see section 3.2.2) or indirectly by measuring mutagen sensitivity and both approaches have been used in a number of epidemiological studies. Assays in whole cells address the complexity of the DNA damage response that is affected by genetic, epigenetic and transcriptomic modulators. However such assays do not provide direct mechanistic information about the genotoxic lesions or the modulated pathways.

Numerous methods have been developed for measuring DNA repair capacity directly which, as reviewed by Nagel *et al.* [240], have strengths and weaknesses. Some have the advantage of measuring repair of genomic DNA in intact cells but are often labour intensive and show large inter-laboratory variation, thus limiting their application in large-scale epidemiological studies. The widely used comet assay is associated with large inter-laboratory variation (see for example [241]), limiting its usefulness in multi-centre studies, particularly after low dose IR exposures. A comet assay chip has been developed [242–244] with a 100-fold higher throughput compared to the traditional assay but it requires validation in inter-laboratory comparisons.

Single cell network profiling is another potential approach to quantitatively measure DNA repair capacity in biological samples by characterizing signaling responses at the single cell level using multi-parametric flow cytometry following exposure to a DNA

damaging agent. This approach has identified functional DDR readouts in both NHEJ and HR pathways and has been explored for predicting clinical outcome in oncology settings (see for instance [245] and references therein). Further experiments are needed to establish the specificity and sensitivity of such assays in terms of responses to low doses of IR.

Host cell reactivation assays offer a powerful way to measure DNA repair capacity in living cells and have been used in molecular epidemiological studies, mainly investigating the repair of UV or benzo[a]pyrene diol epoxide induced DNA lesions [246]. However this assay generally cannot assess the repair of lesions that do not block the progression of RNA polymerases and is limited further by the need for separate assays to measure individual DRC pathways, or at more than one dose of DNA damage. A recently developed multiplexed fluorescence based flow cytometric host cell reactivation assay addresses certain of these limitations by using different reporter plasmids to measure the repair of several doses of multiple types of DNA damage in a single assay [240]. A next generation sequencing based assay was also developed that detects rare transcriptional mutagenesis events due to lesion bypass by the RNA polymerase, providing two tools for exploring relationships between global DNA repair capacity and disease susceptibility.

Functional DNA repair signatures can also be measured using protein extracts from cells or tissues making use of DNA substrates containing defined lesions. Using such an approach the BER, MMR, direct reversal by MGMT, NER, NHEJ, cross link repair and HR pathways were assessed in a number of epidemiological settings (see [240] and references there in). In addition an excision/synthesis repair assay using a biochip carrying plasmids containing different types of DNA damage typically repaired by different repair pathways assays has been developed and tested using a variety of human

samples. This assays allows an individual's DNA repair phenotype towards a panel of lesions repaired by distinct repair pathways to be determined (see for instance [247]). One major limitation of both the HCR and biochip assays for assessing DNA repair capacity for IR induced lesions is the need for repair substrates carrying lesions representative of those found after both high and low LET irradiation and in particular clustered lesions. Another outstanding question with respect to assessing DNA repair capacity is the choice of the most suitable biological material. Variation between tissues has not been extensively explored but for large scale prospective molecular epidemiological studies the choice of tissue is often limited to viable cells isolated from blood samples. However, the possibility of generating cells representative of various human tissues by differentiating induced pluripotent stem cells from skin fibroblasts that can be obtained from a single biopsy may become a reality in the near future and opens up the possibility for large prospective epidemiological studies investigating DRC in target tissues. Clearly such an approach will require ethical reflection and logistical considerations that to date have not been instigated at the European level.

### 3.7.6 *Mitochondria as biomarkers for low dose IR exposure and effects*

MtDNA is vulnerable to damage because, in comparison with nuclear DNA it lacks protective histones, has a high exon to intron ratio, has inefficient DNA repair machinery [211] and is located close to the mitochondrial respiratory transport chain, the most important cellular source of ROS [248–251]. Changes in mitochondrial function and number after exposure of cells or tissues to high IR doses have been found [252–254]. A sensitive measure of mtDNA damage is the accumulation of the common deletion generated during the processing of DNA damage within a 4977 base pair region flanked by two 13 base pair repeats [255,256]. This accumulation has been proposed as a sensitive

marker for the evaluation of low dose IR-induced effects. For instance, Schilling-Tóth and coworkers have demonstrated an increase in common deletion levels 72 h after exposure to doses of 0.1 Gy in both primary and immortalized fibroblast cell lines [257]. This is however unlikely to be specific to IR as the common deletion is often observed in diseases, such as the Kearns-Sayre syndrome, that involve a premature aging process [258,259]. Despite this limitation, because of the stability of this change, it may represent a longer term exposure marker that warrants assessing in pilot studies.

### *3.8 Biomarkers of internal exposures*

Internal contaminations by radionuclides can occur in a number of occupational, medical and environmental settings and require the use of specific biomarkers to provide a qualitative indication or a quantitative assessment of absorbed dose to a specific organ or tissue. Following intake, the distribution throughout the body depends on the biokinetics and speciation of the radionuclide. The irradiation is protracted during the retention period of the radionuclide and its relative biological efficiency depends on the type of IR emitted. In addition, some radionuclides, for instance U and other heavy metals, have potential for chemical and even physical (e.g. in case of particle inhalation) toxicity.

#### *3.8.1 Current techniques for the biological determination of exposure/intake of internal emitters*

Incorporated radionuclides emitting penetrating IR (X-rays,  $\gamma$  or energetic  $\beta$ ) can be monitored directly using external detectors. However this approach is not feasible for radionuclides emitting only (or mainly)  $\alpha$  or low energy  $\beta$  radiation. Intakes of U, Pu and  $^3\text{H}$ , among others, are usually monitored by *in vitro* analysis involving excreta or other biological samples (urine, feces, nose blow, nasal smear, saliva, rarely blood or biopsy). Dedicated models combined with a scenario of exposure (e.g. time and route of intake,

physico-chemical form of the incorporated radionuclide) are needed to evaluate intake and dose. The precision of the assessed dose is strongly affected by the uncertainty of the exposure conditions and the biokinetics of the radionuclide. For most radionuclides and for medium to low levels of exposure, radioactivity measurements in urine and blood are representative of a recent exposure only, over a period depending on the decay scheme and the biokinetics of the radionuclide.

In recent years, epidemiological studies of occupational exposure to radionuclides have begun to benefit from detailed internal dosimetry protocols to evaluate annual organ doses from bioassay monitoring data and information on the conditions of exposure (see Supplementary Table 3.8.1 and references therein). There is clear potential to improve, by integrating biomarkers of exposure to radionuclides in epidemiological studies, the characterization of the shape of the dose-response for cancers [260,261], but also non-cancer diseases [262,263], and to improve the knowledge of the effects of internal contamination [264] and of radiation quality.

### *3.8.2 New biomarkers of exposure to internal emitters*

The techniques currently being used for monitoring incorporated radionuclides present inherent limitations which results in substantial uncertainties in internal dose estimates. New biomarkers are thus needed that would have longer persistence, and provide information about the chemical speciation or the isotopic form of the incorporated radionuclide, tissue-specific information on radionuclide presence, quantity, or associated biological damage.

Non-targeted approaches such as metabolomics, lipidomics and proteomics have provided promising preliminary results for new biomarkers of exposure to radionuclides, as demonstrated by recent studies of uranium (lowest estimated calculated dose of

0.15mGy in the kidney),  $^{137}\text{Cs}$  (lowest average cumulated dose of 4mGy) and  $^{90}\text{Sr}$  (lowest average cumulated dose of 1Gy) contamination in rats [216,217,265–267]. Translocations, complex chromosomal rearrangements and micronuclei in peripheral blood lymphocytes (see above) are also potentially useful to estimate cumulated red bone marrow doses resulting from protracted mixed exposure to internal and external IR following moderate to high doses, although further work is needed for complete validation [12,56,59,60,268]. Gender specific mRNA and miRNA gene expression patterns to internal  $^{239}\text{Pu}$  exposure have been deciphered in former Mayak workers [269,270], which are promising markers of internal alpha-particle exposure and warrant further validation.

### 3.8.3 *New biomarkers of effects*

Compared to biomarkers of low LET IR, biomarkers of effects of internal emitters are more likely to be specific to the “target tissues or organs” of each radionuclide. To date potential biomarkers of IR-induced thyroid disease identified are those associated with  $^{131}\text{I}$  exposure [131,271].

$^{131}\text{I}$  associated gene expressions in thyroid tumours have been investigated, however, no common alterations were found (summarised in Supplementary Table 3.8.3 and references there in). Major limitations of these transcriptomic studies might be the small sample sizes. Another approach for the elucidation of  $^{131}\text{I}$  specific molecular fingerprints was an integrative data analysis combining genomic copy number data with mRNA and protein expression levels. Using such an approach on PTC from young thyroid cancer patients exposed to  $^{131}\text{I}$  from the Chernobyl fall-out, an apparent IR-specific DNA copy number gain on chromosomal band 7q11 and over-expression of CLIP2 mRNA and protein were found [131,271]. A  $^{131}\text{I}$  dose-response relationship for the CLIP2 IR marker in two Ukrainian PTC cohorts for young patients with age at operation less than 20 years

and age at exposure less than 5 years has highlighted the potential importance of this biomarker in low-dose radiation research [272]; no dose-response has been seen, however, in a separate cohort in Belarus (Grellier *et al*, in preparation).

Because of the chemical toxicity of certain radionuclides (e.g.: U, Be, Np, Ag, Pb, Tc, Se, B, Cd) biomarkers of toxic effects which are poorly covered by most epidemiological databases (e.g., kidney or brain toxicity) [273,274] can be of interest to discriminate between chemical and radiological effects. For instance, given that the kidney is the most susceptible human organ to the chemical toxicity of U, the use of specific and sensitive biomarkers of nephrotoxicity such as Kim-1,  $\beta$ 2-microglobuline, tubular enzymes or osteopontin [275–277] are promising.

Biomarkers of adverse biological effects have been investigated in several animal models. For instance specific cardiovascular markers associated with cardiovascular effects resulting from  $^{137}\text{Cs}$  exposure [278,279] and kidney and brain markers after uranium exposure (total calculated dose of 0.15mGy on the kidney) have been identified [280–283]. Biomarkers of adaptive response were observed in certain conditions of chronic exposure of mice to  $^{137}\text{Cs}$  (absorbed dose 5 to 150mGy) where inflammatory cytokines decrease [279] or chronic exposure of rats to uranium where renal glutathione levels increase dose-dependently (absorbed dose to the kidney 0.15mGy to 5mGy) [284].

## **4. Discussion**

### *4.1 Past and Future*

Pernot *et al.* [9] reviewed biomarkers for low dose IR epidemiological studies, and defined different classes (exposure, susceptibility, late effects, and persistent effects). The review raised awareness of the criteria required for a biomarker to be useful and helped

focus research on the approaches and technologies needed to promote the discovery and validation of suitable biomarkers. It facilitated interactions between basic scientists studying the responses to IR exposure, those engaged in biomarker discovery and epidemiologists. The review also exposed the limitations inherent to using biomarkers including the need for sufficient sensitivity and specificity, and practicality for use in large scale studies using biological samples that can be collected in a logistically and ethically acceptable way.

Gaining a mechanistic understanding of the biochemical processes induced by low doses of IR is an important complement to the molecular epidemiological estimates of risk of low dose IR exposure as originally discussed in the HLEG report [285], and more recently the HPA's independent Advisory Group on ionizing radiation report on Human Radiosensitivity [286] and the NCRP scientific commentary on Health Effects of low doses of radiation: perspectives on integrating radiation biology and epidemiology [11]. The extensive information gathered through the different "IR induced biomarker projects" and the rapid development of bioinformatics/system biology should provide the tools to identify the mechanisms underlying the cellular processes induced in response to low dose IR. Understanding the nature of the primary cellular targets, which are broader than just DNA, may help to evaluate the long-term impact on transformation, senescence or other endpoints relevant for the onset of health effects and would also identify novel targets for approaches for amelioration or protection from long-term health effects. Along with the relevance for IR protection research, a basic understanding of the mechanisms of action of low dose IR and their possible impact on human health could be important for low dose risk estimates from other stressors/pollutants in our environment.

Since the Pernot *et al.* publication [9], there has been progress in the identification of potential new biomarkers of exposure and late effects related to advances in



metabolomics and transcriptomics. The robust findings from *in vitro* and *in vivo* animal models using much lower doses than previously used (below 1 Gy) highlight these advances. The increasing number of potential new biomarkers underpins the need to establish guidelines for the processes underlying biomarker validation, and a key aspect of this updated review is the development of a roadmap (Figure 2). It is crucial that informed and carefully evaluated decisions are made on when to progress or drop a biomarker with issues of reproducibility, sensitivity and specificity being critical to the decision. Based on this roadmap the development status of proposed biomarkers for IR epidemiological studies have been reviewed (Table 3). One biomarker that has moved to the final stages of development is IR specific mRNA transcript profiles. However, most potential biomarkers have not reached these stages and for some there is sufficient evidence that further development for use in the low dose IR exposure field is not warranted.

#### *4.2 Can biomarkers in molecular epidemiological studies provide insight into the outstanding questions relating to low dose exposures; strengths and limitations?*

A goal of current research in the low dose IR field is to define the shape of the dose response for induced health effects. The integration of biomarkers into molecular epidemiology studies remains in its infancy but has the potential to address this goal. Possible strategies for addressing this for cardiovascular diseases [10], and the effects of uranium exposure [287] were recently reviewed by the DOREMI consortium.

These strategies cover not only the collection and timing of appropriate biological samples but also issues common to all epidemiological studies, such as study size, statistical power, potential biases (such as confounding, selection and recall) and random error. Typical confounders and potential effect modifiers include age, gender, ethnicity,

smoking status, other environmental, occupational or medical exposures, and iodine deficiency (for IR induced thyroid cancer). If adequate control for confounding factors is not possible, even the best powered molecular epidemiological study may lead to incorrect inferences. Therefore, efforts to collect good quality data on potential confounders in molecular epidemiological studies are critical and are major components in the proposed future studies to investigate cardiovascular effects and uranium exposure [10,288,289]. Uncertainties and bias in estimating IR dose and inaccuracies in outcome determination will also affect study findings.

To date, and since the publication of the Pernot *et al.* review [9], molecular epidemiological studies of low dose IR continue to be small and suffer from low statistical power. The need for large studies is recognised but hampered by the logistics and costs of obtaining and biobanking several thousand samples from appropriate cohorts. Biological samples were collected from only a small proportion of of the many large epidemiological studies of low dose IR so far conducted – often only at a single time point and from a small proportion of individuals. Also, if the association between the biomarker and endpoint is weak, then the additional information that it provides will be limited and not cost-effective.

One over-arching consideration that impacts on study design and the potential for the collection of biological samples and thus limits the choice of biomarkers is the issue of time since exposure. Prospective studies have the potential to be highly informative and to provide distinct information in comparison with retrospective studies: biological samples and dosimetric information can be collected before the onset of disease and longitudinal sample collection and measurements may be possible. However, decades are needed in order to obtain results on long term effects. Retrospective studies are quicker but most of the biomarkers of exposure reviewed here currently lack sensitivity,

specificity and persistence for use decades after exposure as illustrated in Figure 3. Retrospective studies may be suitable, however, for the assessment of individual susceptibility and persistent effects, and for these a case-control study design would reduce the number of samples required. As discussed in Pernot et al. [9], bioassays can be particularly useful in this setting to assess biomarkers of susceptibility. It is recognised, however, that certain gene and protein expression profiles could vary with age that can complicate the interpretation of data.

Thus, whilst the use of biomarkers in molecular epidemiological studies has the potential to provide mechanistic insight and increase the power of studies to answer key radiation protection questions, the choice of the most appropriate biomarkers in different study designs needs to be carefully evaluated.

## **5. Conclusions**

Biomarkers for IR epidemiology studies must be sensitive to and specific for IR exposure, and need to be applicable to large numbers of biological samples that can be conveniently and ethically collected. These requirements continue to limit the number of candidates that are suitable for assessing low dose IR exposure, susceptibility, or effects. Technological and analytical developments and cost reductions are advancing the development of potential biomarkers but challenges remain. Whilst innovative ideas and increased mechanistic understanding of the responses to low dose and LDR IR are aiding biomarker discovery, a key issue is the decision of which biomarkers to progress. As seen in this review, most potential biomarkers remain at the discovery stage. For some, however, there is now sufficient evidence that further development is not warranted. Only one biomarker was identified in the final stages of development and as a priority for further research, radiation specific mRNA transcript profiles.

Robust validation is essential and a roadmap is provided to facilitate the progression from biomarker discovery to implementation. Use and periodic updating of this roadmap should allow the most informative biomarkers to be incorporated into IR epidemiology studies.

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## 8. Tables

Table 1: Summary table of cytogenetic biomarkers

	Exposure Assessment		Lower dose limit	Specific for Radiation quality	Partial Body exposure	Individual radio-sensitivity	Age dependent radiosensitivity in low dose range	Labor intensive	Automation possible	Applicable for large scale studies
	Past	Current								
Dicentrics	No	Yes	50 mGy*	Yes	Yes	No**	Yes	Yes if not automated	Yes (described for Giemsa stained dicentrics or by using PC and TC fluorescent probes)	Yes
Translocations	Yes (at group level)	Yes	300 mGy	Yes	Yes	No**	No	Yes	No	Yes
Intra-chromosomal aberrations	Yes	Yes	150 mGy	Yes	No	No	No	Yes	No	Yes
Micronuclei	Yes (only group level)	Yes	Several tens of mSv	No	No	No	No	No	Yes	Yes
MN-RET	Possibly (chronic genetic instability)	Yes Time dependent	10 mGy	Works after external and/or internal exposure	Bone marrow has to be exposed	Not tested	Not tested	No	Yes	Yes
Telomere length	No	No	Not assessed	No	Not assessed	Possibly	Probably No	Not assessed	Yes	Yes

\*Requires the evaluation of 10,000 cells to evaluate exposures to 50mGy,

\*\* Radiation sensitive syndromes such as ATM and NBS homozygote carriers do show increased levels

Table 2. Gene expression studies investigating the transcriptional response to low-dose (<100 mGy) radiation exposure

Dose (mGy)	Dose rate	Quality	Cell type	Organism	Time point (h)	Genes reported to be responsive to ≤100 mGy and validated by qRT-PCR	Reference
0, 100, 2500	Not reported	4.5 MeV protons	3D epidermis model	Human	4, 16 and 24	ADAMTS1, APOBEC3A, ATF3, BCAS3, CDK9, CYP1B1, GPRC5A, IGF2, PSCA, PTGS2, ROR1	[290]
0, 10, 25, 50, 75, 100	1.5–4 cGy/min	gamma-rays	lymphoblastoid cell lines	Human	4	ASCL3, CD164, GGH, LIPA, PAM, PPT1, SCAMP1, SSR1	[291]
0, 20, 100, 500, 1000, 2000, 4000	0.7 Gy/min, 0.0286 Gy/min for 20 and 100 mGy	gamma-rays	PB	Human	6, 24, 48	FDXR, PFKFB3	[147]
0, 10, 25, 50	10 mGy/min	X-rays	PBMC	Human	24 and 48	Not reported	[292]
0, 100, 1000	0.5 Gy/min	X-rays	3D skin model	Human	5 min, 3, 8 and 24	Not reported	[293]
0, 50, 1000	3 cGy/min	X-rays	PB	Human	8	CCR4, GNG11, PF4, POLH	[294]
0, 5, 10, 20, 50, 75, 100	4.9 mGy/min	X-rays	PB	Human	2 and 24	C12orf5/TIGAR, CCNG1, DDB2, GADD45A, FDXR, MDM2, PHPT1	[148]
0, 5, 10, 25, 50, 100, 500	50 mGy/min	gamma-rays	CD4+ lymphocytes	Human	2.5, 5, 7.5 and 10	Not reported	[295]

PB: Peripheral blood; PBMC: Peripheral blood mononuclear cell.



Table 3: Development status of radiation biomarkers

Field	Endpoint	BM of low dose exposure	BM of response	
			Early effects	Late effects
<b>Cytogenetics</b>				
	<ul style="list-style-type: none"> <li>Improved quantification of CBMN assay</li> </ul>	GO-application	GO-application	GO-application
	<ul style="list-style-type: none"> <li>Chromosome aberrations analysis using PCC + fish</li> </ul>	GO-application	GO-application	GO-application
	<ul style="list-style-type: none"> <li>RET/PTC1 and RET/PTC3 rearrangements in PTC</li> </ul>	STOP not radiation specific	STOP not radiation specific	STOP not radiation specific
	<ul style="list-style-type: none"> <li>EVT6/NTRK3 rearrangements in PTC</li> </ul>		GO-qualification	GO-qualification
	<ul style="list-style-type: none"> <li>Analysis of Micronucleated reticulocytes (mouse studies to date)</li> </ul>	GO- development	GO- development	GO- development
<b>DNA/nucleotide pool lesions</b>				
	<ul style="list-style-type: none"> <li>gH2AX</li> </ul>	STOP interlab variability – poor dosimetry	GO - validation	
	<ul style="list-style-type: none"> <li>extracellular 8 oxoG</li> </ul>	NO	GO-qualification	GO-qualification
	<ul style="list-style-type: none"> <li>CtDNA</li> </ul>	GO-validation	GO-validation	GO-validation
<b>Germline variants/radiation induced mutations</b>				
	<ul style="list-style-type: none"> <li>Multigene signatures</li> </ul>	GO-discovery	GO-discovery	GO-discovery
	<ul style="list-style-type: none"> <li>Radiation specific mutation profile</li> </ul>	GO-discovery	GO-discovery	GO-discovery
<b>Gene amplification, transcriptional and expression profiling</b>				
	<ul style="list-style-type: none"> <li>Multigene signatures</li> </ul>	GO-development	GO-development	GO-development
	<ul style="list-style-type: none"> <li>FDXR</li> </ul>	GO-validation	GO-validation	GO-validation
	<ul style="list-style-type: none"> <li>CLIP2 amplification and protein expression</li> </ul>	GO-validation	GO-validation	GO-validation

Field	Endpoint	BM of low dose exposure	BM of response	
			Early effects	Late effects
	<ul style="list-style-type: none"> <li>• DDR activation</li> </ul>		GO-discovery	NO
<b>Novel and/or emerging ideas</b>				
	<ul style="list-style-type: none"> <li>• Redox balance</li> </ul>	GO-discovery	GO-discovery	GO-discovery
	<ul style="list-style-type: none"> <li>• Metabolite biomarkers in urine, serum or saliva</li> </ul>	GO-discovery	GO-discovery	GO-discovery
	<ul style="list-style-type: none"> <li>• Radiation induced post-translational modifications</li> </ul>	GO-discovery	GO-discovery	NO
	<ul style="list-style-type: none"> <li>• Radiation specific DNA lesions</li> </ul>	GO-discovery	NO	NO
	<ul style="list-style-type: none"> <li>• Telomere length</li> </ul>	NO	GO-development	GO-development
	<ul style="list-style-type: none"> <li>• miRNAs</li> </ul>	GO-discovery	GO-discovery	GO-discovery
	<ul style="list-style-type: none"> <li>• LnRNAs eg PAPPAs and PARTICLE</li> </ul>	GO-discovery	GO-discovery	GO-discovery
	<ul style="list-style-type: none"> <li>• DRC assays</li> </ul>	GO-development	GO-development	GO-development
	<ul style="list-style-type: none"> <li>• Accumulation of common deletion in mitochondria</li> </ul>	GO-discovery	GO-discovery	GO-discovery

## 9. Figures

*Figure 1 PUMA and FDXR mRNAs are biomarkers of respectively cancer susceptibility and radiation exposure linked to the ATM/CHEK2/P53 pathway.*

FDXR receives electrons from NADPH and initiates the electron-transport chain for cytochromes P450 within mitochondria. PUMA localizes to the mitochondria and is involved in the activation of apoptosis. A) *Ex vivo* irradiation of whole blood (2 Gy) leads to early variations in PUMA mRNA (2 h post exposure) compared to control (0 Gy) samples that may potentially be used as susceptibility biomarker for cancer. The level of PUMA transcriptional up-regulation is associated with the activity level of the ATM/CHEK2/P53, an essential DDR pathway component. B), C) At low (10-100 mGy) and high (1-4 Gy) doses, *in vivo* and *ex vivo* irradiation of human blood or T-lymphocytes leads to a strong FDXR mRNA level increase 24 h after irradiation. FDXR has the potential to be used as a biodosimeter. D) FDXR is one of the most extensively radiation-induced spliced genes in blood cells. The sensitivity of the radiation-induced signal is dependent on the exon targeted by the primers/probe combination.

*Figure 2 Roadmap for Developing Biomarkers of Radiation Exposure, Susceptibility, Late Effects or Persistent Effects*

*Figure 3 Biomarker detectability with time*

This heatmap representation allows the selection of an appropriate biomarker with respect to time after exposure. Biomarkers were classified as easily detectable or potentially detectable with modern technology and assuming the availability of appropriate biological samples. It has to be noted that the

majority of these biomarkers have yet to be validated using the proposed roadmap as a biomarker of low dose radiation exposure in human studies.